

04/24/01  
JC941 U.S. PTO

01-25-01

A

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEVINE

Serial No.: not yet assigned

Filing Date: herewith

For: SYSTEMS AND METHODS TO  
FACILITATE A DISPLAY OF  
INVESTMENT INFORMATION  
ASSOCIATED WITH A PLURALITY OF  
SHARE PRICES

Examiner: not yet assigned

Group Art Unit: not yet assigned

Attorney Docket No.: I03.001

JC929 U.S. PTO  
09/841631  
04/24/01

Box PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **SYSTEMS AND METHODS TO FACILITATE A DISPLAY OF INVESTMENT INFORMATION ASSOCIATED WITH A PLURALITY OF SHARE PRICES**

and invented by: **LEVINE**

Enclosed are:

1. ☒ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 29 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross references to Relate Applications (*if applicable*)

- ☐ c. Statement Regarding Federally-sponsored Research/Development  
☐ d. Reference to Microfiche Appendix (*if applicable*)  
☒ e. Background of the Invention  
☒ f. Brief Summary of the Invention  
☒ g. Brief Description of the Drawings (*if drawings filed*)  
☒ h. Detailed Description  
☒ i. Claim(s) as Classified Below  
☒ j. Abstract of the Disclosure
3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)  
     a. ☒ Formal      Number of Sheets 12  
     b. ☐ Informal      Number of Sheets
4. ☒ Oath or Declaration  
     a. ☒ Newly executed (*original or copy*)      ☐ Unexecuted  
     b. ☐ Copy from a prior application (37 CFR 1.63(d))  
     c. ☐ With Power of Attorney      ☐ Without Power of Attorney  
     d. ☐ DELETION OF INVENTOR(S)  
         Signed statement attached deleting inventor(s) named in the Prior Application,  
         see 37. CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)  
     The entire disclosure of the prior application, from which a copy of the oath or  
     declaration is supplied under Box 4b, is considered as being part of the disclosure of  
     the accompanying application and is hereby incorporated by reference therein.
6. ☒ Assignment Papers (*cover sheet & documents(s)*) ✓  
 7. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)  
 8. ☐ English Translation Document (*if applicable*)  
 9. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations  
 10. ☐ Preliminary Amendment  
 11. ☒ Acknowledgement Postcard  
 12. ☒ Mailed Via  
     ☐ First Class      ☒ Express Mail (*Specify Label No.*) **EF283797413US**
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)  
 14. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)  
 15. ☐ Additional Enclosures: \_\_\_\_\_

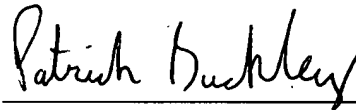
FORWARDED-TELETYPE

**FEE CALCULATION**

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	28	- 20	8	\$ 9.00	\$ 72.00
Indep. Claims	8	- 3	5	\$ 40.00	\$ 200.00
Multiple Dependent Claims (If applicable, please add \$ 260.00)					\$ 0.00
<b>BASIC FEE</b>					\$ 355.00
<b>OTHER FEE</b> (specify purpose): Assignment Recordation					\$ 40.00
<b>TOTAL FILING FEE</b>					<b>\$ 667.00</b>

A check in the amount of \$ 667.00 is enclosed.

Respectfully submitted,



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April 24, 2001  
 Date

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